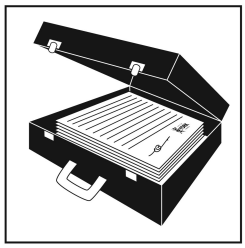
CITY OF YORK COUNCIL



Notice of meeting of

Decision Session - Executive Member for Children & Young People's Services

To: Councillor Runciman (Executive Member)

Date: Tuesday, 14 September 2010

Time: 4.00 pm

Venue: The Guildhall, York

AGENDA

Notice to Members - Calling In:

Members are reminded that, should they wish to call in any item on this agenda, notice must be given to Democracy Support Group by:

10:00 am on Monday 13 September 2010, if an item is called in *before* a decision is taken, *or*

4:00 pm on Thursday 16 September 2010, if an item is called in *after* a decision has been taken.

Items called in will be considered by the Scrutiny Management Committee.

Any written representations in respect of the items on the agenda should be submitted to Democratic Services by 5.00 pm on Friday 10 September 2010.

1. **Declarations of Interest**
At this point Members are asked to declare any personal or prejudicial interests they may have in the business on the agenda.

2. **Minutes** (Pages 3 - 6)
To approve and sign the minutes of the Decision Session of the Executive Member for Children and Young People's Services held on 13 July 2010.

3. **Public Participation**
At this point in the meeting, members of the public who have registered their wish to speak at the meeting can do so. The deadline for registering is **5.00 pm on Monday 13 September 2010.**

Members of the public may register to speak on:-
- An item on the agenda
- An issue within the Executive Member's remit
- An item that has been published on the Information Log since the last session.

4. **Appointment of Local Authority (LA) School Governors** (Pages 7 - 20)
This report provides information about the current position with regard to vacancies for LA seats on governing bodies, lists current nominations for these vacancies and requests the appointment, or reappointment, of the listed nominees.

5. **Catalyst Family Intervention Project** (Pages 21 - 32)
This report updates the Executive Member on the Family Intervention Project (FIP) model and its roll-out in York through the Catalyst Project, and invites comment on the progress that is being made.

6. Implications of recent Government announcements for certain Council-funded programmes (Pages 33 - 46)

This report summarises the implications of recent Government announcements about in-year expenditure reductions for programmes that had been funded under the Early Intervention Fund (EIF) and the York Youth Community Action Project (YYCAP). It invites the Executive Member to approve officers' actions, which have sought to protect the Council's financial position whilst at the same time minimising so far as possible the impact on the third sector and on those whom they support.

7. Urgent Business

Any other business which the Executive Member considers urgent under the Local Government Act 1972.

Information Log

No items have been published on the Information Log since the last Decision Session.

Democracy Officer:
Name: Jayne Carr
Contact Details:
Telephone – (01904) 552030
Email – jayne.carr@york.gov.uk

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

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Agenda Annex

About City of York Council Meetings

Would you like to speak at this meeting?
If you would, you will need to:

- register by contacting the Democracy Officer (whose name and contact details can be found on the agenda for the meeting) **no later than** 5.00 pm on the last working day before the meeting;
- ensure that what you want to say speak relates to an item of business on the agenda or an issue which the committee has power to consider (speak to the Democracy Officer for advice on this);
- find out about the rules for public speaking from the Democracy Officer.

A leaflet on public participation is available on the Council's website or from Democratic Services by telephoning York (01904) 551088

Further information about what's being discussed at this meeting
All the reports which Members will be considering are available for viewing online on the Council's website. Alternatively, copies of individual reports or the full agenda are available from Democratic Services. Contact the Democracy Officer whose name and contact details are given on the agenda for the meeting. **Please note a small charge may be made for full copies of the agenda requested to cover administration costs.**

Access Arrangements
We will make every effort to make the meeting accessible to you. The meeting will usually be held in a wheelchair accessible venue with an induction hearing loop. We can provide the agenda or reports in large print, electronically (computer disk or by email), in Braille or on audio tape. Some formats will take longer than others so please give as much notice as possible (at least 48 hours for Braille or audio tape).

If you have any further access requirements such as parking close-by or a sign language interpreter then please let us know. Contact the Democracy Officer whose name and contact details are given on the order of business for the meeting.

Every effort will also be made to make information available in another language, either by providing translated information or an interpreter providing sufficient advance notice is given. Telephone York (01904) 551550 for this service.

যদি যথেষ্ট আগে থেকে জানানো হয় তাহলে অন্য কোন ভাষাতে তথ্য জানানোর জন্য সব ধরণের চেষ্টা করা হবে, এর জন্য দরকার হলে তথ্য অনুবাদ করে দেয়া হবে অথবা একজন দোভাষী সরবরাহ করা হবে। টেলিফোন নম্বর (01904) 551 550।

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اگر مناسب وقت سے اطلاع دی جاتی ہے تو ہم معلومات کا ترجمہ مہیا کرنے کی پوری کوشش کریں گے۔ ٹیلی فون 550 551 (01904)

Informacja może być dostępna w tłumaczeniu, jeśli dostaniemy zapotrzebowanie z wystarczającym wyprzedzeniem. Tel: (01904) 551 550

Holding the Executive to Account
The majority of councillors are not appointed to the Executive (40 out of 47). Any 3 non-Executive councillors can 'call-in' an item of business from a published Executive (or Executive Member Decision Session) agenda. The Executive will still discuss the 'called in' business on the published date and will set out its views for consideration by a specially convened Scrutiny Management Committee (SMC). That SMC meeting will then make its recommendations to the next scheduled Executive meeting in the following week, where a final decision on the 'called-in' business will be made.

Scrutiny Committees
The purpose of all scrutiny and ad-hoc scrutiny committees appointed by the Council is to:

- Monitor the performance and effectiveness of services;
- Review existing policies and assist in the development of new ones, as necessary; and
- Monitor best value continuous service improvement plans

Who Gets Agenda and Reports for our Meetings?

- Councillors get copies of all agenda and reports for the committees to which they are appointed by the Council;
- Relevant Council Officers get copies of relevant agenda and reports for the committees which they report to;
- Public libraries get copies of **all** public agenda/reports.

City of York Council | Committee Minutes

MEETING	DECISION SESSION - EXECUTIVE MEMBER FOR CHILDREN & YOUNG PEOPLE'S SERVICES
DATE	13 JULY 2010
PRESENT	COUNCILLOR RUNCIMAN (EXECUTIVE MEMBER)

7. DECLARATIONS OF INTEREST

The Executive Member was invited to declare at this point in the meeting any personal or prejudicial interests she might have in the business on the agenda. None were declared.

8. MINUTES

RESOLVED: That the minutes of the Executive Member for Children and Young People's Services Decision Session held on 8 June 2010 be approved and signed as a correct record.

9. PUBLIC PARTICIPATION

It was reported that there had been no registrations to speak under the Council's Public Participation Scheme.

10. ANNUAL REVIEW OF YORK'S CHILDREN AND YOUNG PEOPLE'S PLAN 2009-12

The Executive Member received a report that provided an overview of the first annual review of the Children and Young People's Plan 2009-12 and invited the Executive Member to note and comment on the outcome of the review.

Attention was drawn to paragraphs 9 and 10 of the report, which detailed the highlights and challenges. The challenges that remained were not ones which the Authority could address on its own and would involve close partnership working. It was noted that the number of children who were looked after by the Authority had now reached a plateau.

RESOLVED: That the process, content and outcome of the first annual review of the Children and Young People's Plan 2009-12 be noted.

REASON: To ensure that the Executive Member is informed of progress on implementing the Children and Young People's Plan.

11. AMALGAMATION OF OUR LADY'S AND ENGLISH MARTYRS RC PRIMARY SCHOOLS

The Executive Member received a report that sought approval for the amalgamation of Our Lady's and English Martyrs' RC Primary Schools proposed by the Roman Catholic Diocese of Middlesbrough.

It was noted that there had been no objections raised during the consultation period and that the proposal had the support of the school communities.

The Executive Member expressed her appreciation of the support that had been received from the Diocese and of the work that officers had carried out.

RESOLVED: That the amalgamation of Our Lady's and English Martyrs' RC Primary Schools, as proposed by the Roman Catholic Diocese of Middlesbrough, be approved.

REASON: To enable the Primary Capital Programme to progress.

Action Required
1. Notify all parties and progress Primary Capital Programme MT

12. SCHOOL TERM DATES AND HOLIDAYS 2011/2012

The Executive Member received a report that:

- Requested that term dates and holidays for the school year, 2011/2012 be formally approved.
- Reported on action taken by the Local Authority to align the dates with those of neighbouring authorities.

RESOLVED: That the pattern of school terms and holidays for 2011/12, as proposed in Annex 1 of the report, be approved.

REASON: To provide clarity for parents, schools and pupils on term dates in City of York.

Action Required
1. Circulate agreed term dates ME

13. IMPLEMENTATION OF THE RESTRUCTURE OF THE CHILDREN'S SOCIAL CARE SERVICE

The Executive Member received a report that provided an update on progress towards the full implementation of the new service structure arrangements following the Executive's approval of the proposals to restructure Children's Social Care services.

Officers went through the key issues in the report and gave details of the implementation arrangements.

The Executive Member was pleased to note that a partnership programme had been agreed with York University. This programme established a new framework to support joint working and training opportunities between the social work teams and the university. The framework would also include an overall evaluation of the effectiveness of the new service arrangements against some key practice outcomes. It was noted that a national review would be taking place around safeguarding issues and the work of social workers and that the findings of this research would also need to be taken into consideration.

The Executive Member expressed her appreciation of the work that had taken place in preparation for the implementation of the new structure and was pleased to note the commitment that staff were demonstrating to ensure that the structure would deliver the best outcomes for children and young people.

RESOLVED: (i) That the progress on implementation of the new service structure arrangements for Children's Social Care services be noted.

(ii) That the results of the evaluation of the effectiveness of the new service arrangements be reported to the Executive Member.

REASONS: (i) To further the Council's strategic objectives in relation to safeguarding children and young people and to ensure organisational resilience at a time of financial challenges.

(ii) To ensure that the Executive Member is kept informed of the progress and effectiveness of the new arrangements.

Action Required

1. Prepare report for Executive Member following the results of the evaluation ER

Cllr Runciman – Executive Member Children and Young People's Services
[The meeting started at 4.00 pm and finished at 4.25 pm].

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Agenda Item 4

Meeting of the Decision Session – Executive Member for Children and Young People **14 September 2010**

Report of the Director of Adults, Children and Education

Local Authority (LA) School Governors

Summary

1. This report provides information about the current position with regard to vacancies for LA seats on governing bodies, lists current nominations for those vacancies, as detailed in Annexes 1 and 2, and requests the appointment, or re-appointment, of the listed nominees.

Background

2. National benchmarking data on governor vacancies indicates a national average of 12% for LA governor vacancies. York has ten (5.7%) LA vacancies at the time of writing this report. This is higher than usual and reflects an increased number of terms of office ending at this time in the school calendar.

3. Some vacancies will be generated by those existing governors not wishing to stand for a further term of office. The following table summarises the current position of LA vacancies and appointments in City of York schools.

Total number of LA seats in City of York schools	174
Number of LA seats currently filled (or held)	150
Number of new LA appointments addressed by this paper	10 *(see annex 1 & 2)*
Number of LA reappointments addressed by this paper	1
Number of LA appointments in progress	4
Number of LA vacancies remaining after this paper (excluding those where a nominee has been identified or where it has been agreed to hold vacancies)	10 (5.7%)
Number of applicants placed in community vacancies since the last report.	2

Political affiliation of LA governors		
Party	**Number of governors**	**Percentage of all LA governors**
Labour	**19**	13%
Lib Dem	**12**	8%
Conservative	**2**	1.3%
Green	**1**	0.7%
Independent	**3**	2%
Others	**113**	75%

Identification of vacancies

4. The overall picture of governor vacancies is informed by a detailed database, which includes records of all schools, the structure of their governing bodies, individuals who serve as governors and terms of office.

5. From the database can be determined such information as current vacancies and terms of office which are due to expire. In this way the Governance Service can clearly identify in advance the actions which are required and act accordingly.

Reviewing Vacancies

6. The vacancy position is under constant review. When potential new governors are identified the candidate is interviewed to discuss their interest and suitability. The Chair of Governors and headteacher are also asked to meet with the candidate and show him or her around the school prior to nomination for appointment. This allows the school to assess the potential candidate in terms of a good match for the needs of the governing body and current governors.

7. Where a term of office is due to expire, the individuals are contacted to ask whether they would like their name to be put forward again for reappointment. Chairs and headteachers are contacted to invite any relevant supporting information. Where a reappointment is appropriate, this is included on the nomination paper for consideration by the Executive Member.

8. All Local Authority governors are required to apply for an enhanced disclosure from the Criminal Records Bureau.

9. It should be noted that, as well as filling LA vacancies, the Governance Service also assists schools who are having difficulties filling community governor vacancies.

Political Balance

10. In York the LA governor seats are filled on merit, rather than by strict consideration of political balance. Just under a third of LA governors are, in practice, linked to one of the political parties. Amongst this number there is a balance which very broadly reflects the political balance within the authority. As and when a situation arises in which any party has significantly more seats than their political representation would indicate to be appropriate, steps may be taken to redress the balance over a period of time, whilst always considering the need to identify the best possible governor for a school, rather than taking account of individuals' political affiliation.

Consultation

11. Consultation on the nominations for appointment has been undertaken in accordance with the agreed procedure for the appointment of LA governors.

Options

12. The Executive Member has the options of appointing/re-appointing or not appointing to fill vacant seats as proposed at Annex 1 and 2.

Analysis

13. If the Executive Member chooses not to appoint to fill vacant seats this will have a detrimental impact on the work of governing bodies and their ability to meet statutory requirements. However equally importantly is the need for confidence that the proposals in Annex 1 and 2 will deliver volunteers who are committed to developing their skills in order to make a strong contribution to the work of the school.

Corporate Priorities

14. Good effective school governance does play a significant role in enhancing individual institutions and contributing as a result to the Learning City corporate priority which describes how

    "We want to make sure that local people have access to world class education and training facilities and provision"

Implications

15. There are no implications relating to equalities, crime and disorder, ITT, property, financial, legal or HR issues arising from this report.

Risk Management

16. In compliance with the Council's risk management strategy, there are no risks associated with the recommendations of this report. Good active governance arrangements do contribute to effective school management arrangements and, as a result, reduce risks to the organisation.

Recommendations

17. That the Executive Member appoints or re-appoints, LA Governors to fill vacant places as proposed in Annex 1 and 2.

Reason: to ensure that local authority places on school governing bodies continue to be effectively filled

Contact Details

Author:
Sue Pagliaro
Governance Service
Adults, Children and Education
01904 554258

Chief Officer Responsible for the report:
Pete Dwyer
Director of Adults, Children and Education

Report Approved ✓ **Date** 31 August 2010

Pete Dwyer
Director of Adults, Children and Education

Specialist Implications Officer(s)
None

Wards Affected: *List wards or tick box to indicate all* **All** ✓

For further information please contact the author of the report

Background Papers

None

Annexes

Annexes 1 and 2 detail the current position of LA governor vacancies and lists those governors who are being nominated for appointment or re-appointment.

Annex One

LA GOVERNOR NOMINATIONS AND VACANCIES:

Autumn Term: September 2010

Primary Schools

Name Of School:	Archbishop of York's CE Junior School				
No Of LA Governors:	2	Total No Of Governors:			15
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Mr C Brennan	No affiliation	22/11/2009	21/11/2013	N/A	
Mrs C Green	Lib Dem	01/09/2010	31/08/2014	YES	

Nomination (s) for reappointment

Mrs Carole Green

The above named governor has indicated that they would like to stand for a further term of office effective from the end date of their current term.

Name Of School:	Badger Hill Primary School				
No Of LA Governors:	3	Total No Of Governors:			14
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Dr A Brabbs	No affiliation	01/07/2010	30/06/2014	N/A	
Miss S Valentine	No affiliation	21/01/2008	20/01/2012	N/A	
Vacant					22/07/2010

Nomination (s) for 1 Vacancy

Vacancy: Currently no applicants for this vacancy

Name Of School:	Bishopthorpe Infant School				
No Of LA Governors:	3	Total No Of Governors:			14
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Mrs M H Kalus	No affiliation	08/09/2009	07/09/2013	N/A	
Vacant					15/06/2010
Vacant					08/02/2010

Nomination (s) for 2 Vacancies

Vacancy: Currently no applicants for this vacancy

Name Of School:	Clifton Green Primary School				
No Of LA Governors:	4	Total No Of Governors:			18
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Mr PJ Warry	No affiliation	01/09/2008	31/08/2012	N/A	
Mrs E Village	No affiliation	04/12/2008	03/12/2012	N/A	
Mrs L Comer	No affiliation	01/09/2008	31/08/2012	N/A	
Vacant					31/08/2010

Nomination (s) for 1 Vacancy

Vacancy: Currently no applicants for this vacancy

Name Of School:	Danes				
No Of LA Governors:	2	Total No Of Governors:			14
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Cllr K Aspden	Lib Dem	01/02/2008	31/01/2012	N/A	
Vacant					19/08/2010

Nomination (s) for 1 Vacancy

Vacancy: Currently no applicants for this vacancy

Name Of School:	Dunnington CE Primary School				
No Of LA Governors:	2	Total No Of Governors:			15
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Prof PN Smith	No affiliation	01/09/2009	31/08/2013	N/A	
Vacant					31/08/2009

Nomination (s) for 1 Vacancy

Vacancy: Miss Sarah Wearing: I am a qualified Chartered Accountant with a Diploma in Charity Accounting. My work has given me the opportunity to develop my knowledge of trustees' responsibilities from working with charities and independent schools. I am at a stage in my life where I wish to contribute back to the community in which I live and work. I was fortunate enough to receive a good state education and I realise this can only be achieved by having people to both challenge the school and act as a critical friend. Through my professional work I have a good understanding of the relationship with those running operations on a day to day basis.

Affiliation: N/A **Appointment: with immediate effect**

Name Of School:	Federation of Our Lady's & English Martyrs' RC Primary School				
No Of LA Governors:	2	Total No Of Governors:			20
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Vacant					31/08/2010
Vacant					30/04/2009

Nomination (s) for 2 Vacancies

Vacancy: Currently no applicants for this vacancy

Name Of School:	Huntington Primary School				
No Of LA Governors:	4	Total No Of Governors:			18
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Mr M Gee		08/06/2010	07/06/2014	N/A	
Mr S Botham	No affiliation	01/01/2010	31/12/2013	N/A	
Vacant					23/02/2009
Vacant					05/02/2010

Nomination (s) for 2 Vacancies

Vacancy: Potential governor identified to fill this vacancy

Vacancy: Mr Ryan Foy: I would like to become a school governor to work in partnership with the school's headteacher, staff and parents in York to improve educational opportunities for all children. I would like to make a positive contribution to the community by giving my time and experience to improve education for all. I have substantial experience of working at a strategic level delivering results in complex business environments, managing successful projects. I have a wide range of experience, knowledge and relevant skills in HR / OD Management and I am adaptable, flexible and responsive to new challenges by creative thinking. I work well under pressure, present a confident and mature disposition and conduct myself in a professional manner at all times. My career to date has involved my working within busy high profile service organisations (Local Government and Civil Service). I have provided effective support in all aspects of Employee Relations, Resourcing and Reward, and People Development, contributing to the delivery of workforce solutions in support of organisational objectives.

Affiliation: N/A **Appointment: with immediate effect**

Name Of School:	Park C ol				
No Of LA Governors:	3	Total No Of Governors:			14
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Cllr J Looker	Labour	01/09/2010	31/08/2014	N/A	
Mrs J Maris	No affiliation	01/01/2010	31/12/2013	N/A	
Vacant					15/09/2009

Nomination (s) for 1 Vacancy

Vacancy: Potential governor identified to fill this vacancy

Name Of School:	Poppleton Ousebank Primary School				
No Of LA Governors:	3	Total No Of Governors:			17
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Dr W Stone	No affiliation	01/09/2009	31/08/2013	N/A	
Mrs S Camplin	No affiliation	12/03/2009	11/03/2013	N/A	
Vacant					31/08/2010

Nomination (s) for 1 Vacancy

Vacancy: Mrs Amanda Bowers: My desire to use my skills and experience to benefit the community in which I live coupled with being a parent of an 18 month old has led me to apply for a voluntary position as a school governor. Although my preference is for a local primary school, I would be happy with any primary school in York, as my aim is to gain a good understanding of the educational system in order that I can identify areas in which I can help to add value. The success of my company is wholly dependant upon the performance and behaviour of its people at every level. In my role as Head of Human Resources and Development I need to possess strong skills in recruitment, selection, people development and performance management to help deliver this success. In addition, to be effective in my role I have to be able to negotiate and influence at a senior level. I also provide mentoring support for my senior team, which again has helped to develop sound and effective listening skills.

Affiliation: N/A **Appointment: with immediate effect**

Name Of School:	Ralph Butterfield Primary School				
No Of LA Governors:	3	Total No Of Governors:			15
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Miss V Mallows	No affiliation	09/12/2009	08/12/2013	N/A	
Mr P Payton		01/09/2010	31/08/2014	N/A	
Vacant					23/07/2010

Nomination (s) for 1 Vacancy

Vacancy: Currently no applicants for this vacancy

Name Of School:	Skelton Primary School				
No Of LA Governors:	3	Total No Of Governors:			14
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Mr S T Stead	No affiliation	20/01/2008	19/01/2012	N/A	
Mrs A Brierley	No affiliation	01/09/2010	31/08/2014	N/A	
Vacant					08/06/2010

Nomination (s) for 1 Vacancy

Vacancy: Currently no applicants for this vacancy

Name Of School:	St Barnabas' CE Primary School				
No Of LA Governors:	2	Total No Of Governors:			15
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since

Cllr R Cregan	Labou	2009	31/08/2013	N/A	
Vacant					28/01/2010

Nomination (s) for 1 Vacancy

Vacancy: Currently no applicants for this vacancy

Name Of School:	St George's RC Primary School				
No Of LA Governors:	1	Total No Of Governors:			16
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Vacant					31/08/2010

Nomination (s) for 1 Vacancy

Vacancy: Mr Trevor Palmer: I have been the school crossing patrol at St George's since September 2009 and have got to know the teachers and parents and children a little. I am also a road safety training instructor for CYC. I worked for the British Library for nearly 30 years, about half of which were spent on library duties and half as a finance manager. I would like to think I would be able to make a contribution to the financial management of the school. I also have experience in marketing and communications which may be of some help. I qualified as a primary school teacher (Certificate of Education, Reading University, 1974) and although I never taught, have retained an interest in education. I have brought up two daughters. St George's is a lovely school and I would welcome the opportunioty to help in any way I can.

Affiliation: Conservative **Appointment: with immediate effect**

Name Of School:	St Lawrence's CE Primary School				
No Of LA Governors:	1	Total No Of Governors:			12
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Vacant					31/08/2010

Nomination (s) for 1 Vacancy

Vacancy: Potential governor identified to fill this vacancy

Name Of School:	St Oswald's CE Primary School				
No Of LA Governors:	2	Total No Of Governors:			14
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Mr S Britten	No affiliation	30/09/2009	29/09/2013	N/A	
Vacant					12/07/2010

Nomination (s) for 1 Vacancy

Vacancy: Potential governor identified to fill this vacancy

Name Of School:	Woodthorpe Primary School				
No Of LA Governors:	4	Total No Of Governors:			18
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Cllr A Reid	Lib Dem	01/09/2009	31/08/2013	N/A	
Mr B Ferguson	Labour	01/09/2010	31/08/2014	N/A	
Mr J Browne	No affiliation	12/03/2009	11/03/2013	N/A	
Vacant					31/08/2010

Nomination (s) for 1 Vacancy

Vacancy: Mrs Jennifer Parker: Having been a governor for some years, pressure of work prevented me from continuing. Now I am semi-retired I would like to return to governorship to support my local school.

Affiliation: Independent **Appointment: with immediate effect**

Secondary Schools

Name Of School:	Archbishop Holgate's CE School				
No Of LA Governors:	2	Total No Of Governors:			20
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Mr A Rowlinson	No affiliation	01/09/2010	31/08/2014	N/A	
Vacant					31/08/2010

Nomination (s) for 1 Vacancy

Vacancy: Mrs Catherine Hunter: I have two children at the school and one who has been through the school. I have never been a governor due to other commitments but have always had a desire to work in this capacity and now have the time. I am interested in understanding and contributing to the working life of the school and feel after 20 years of working in the NHS as a speech and language therapist I could contribute in many different areas that the governing body has responsibility for. I have a particular interest in special needs but am also keen to learn about what is involved in all the different aspects of the school. I have great respect for the current leadership team and consider it a great privilege to serve the school.

Affiliation: Conservative **Appointment: with immediate effect**

Name Of School:	York High School				
No Of LA Governors:	4	Total No Of Governors:			0
Current Appointees	Affiliation	From	To	Restanding	Vacancy Since
Cllr A M Waller	Lib Dem	29/10/2007	28/10/2011	N/A	
Mr P Kelly	Labour	29/10/2007	28/10/2011	N/A	
Mrs A Leatt	No affiliation	29/10/2007	28/10/2011	N/A	
Vacant					23/04/2010

Nomination (s) for 1 Vacancy

Vacancy: Ms Charlotte Harrison: My work as an Architect and a University Lecturer requires me to be highly effective at interacting and communicating with both individuals and large groups of people. Similarly, I work well and enjoy working within the context of a team, for example the teaching team at Sheffield University or a design/project team for a building project. In addition, as a partner of an SME I have a strong understanding and experience of both management, financial, human resourcing and marketing issues. Using these skills my partner and I have grown a successful practice in both London and York for the last 6 years. I consider myself to have experienced a very broad range of environments, having worked/studied in Europe and Africa on architectural projects and events. In this sense I feel extremely privilidged to have had a wonderful education and rewarding career to date and would like to offer something back to either my local community or the educational community in general. As a teacher (HE level) and a parent and 'stakeholder' in our future society, I am passionate about education and want to make a contribution to the quality of our children's experience at school.

Affiliation: Liberal **Appointment: with immediate effect**

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ANNEX TWO

NOMINATIONS FOR APPOINTMENT TO THE TEMPORARY GOVERNING BODY OF THE NEW CLIFTON WITH RAWCLIFFE SCHOOL

Note: It is proposed that the Temporary Governing Body consists of thirteen members. The following existing governors have expressed an interest in working towards the establishment of the new school as temporary governors. The Local Authority is responsible for the appointment of temporary Parent, Community and Authority Governors. Staff governors will be appointed at the first meeting of the Temporary Governing Body.

Category of Temporary Governor	Name	Current category and term of office	Skills
Parent	Mrs K Peak	Parent 01/02/2010 – 31/01/2014	• Personnel • Legal knowledge • Team working
As a governor of Clifton with Rawcliffe School already I have a real interest in the new build, particularly given the fact that I have twin girls about to go into Year 1. With my legal background and my husband's business being commercial development, I feel I have a lot to contribute.			
Parent	Mr A Robinson	Parent 01/02/2010 – 31/01/2014	• Financial planning • Problem solving • Project management • Health & safety
As an existing governor I would like to maintain the current plans and ideas and also the good work that the Clifton with Rawcliffe governing body are doing and maintain the new build from the first stone in the ground until the opening day as a full school.			
Parent	Mr S Gillespie	Parent 01/02/2010 - 31/01/2014	• Strategic planning • Financial planning • Problem solving • Personnel • Project management • Team working • Decision making • Communications • Health & safety
I want to continue providing support and challenge to the school. The move to a brand new building is very exciting for all of the stakeholders in the school and I want to play as full a part as I can in assisting Chris Wigley and the SLT in ensuring as smooth a transition as possible.			
Parent	Mrs J McNeill	Parent 25/06/2008 – 24/06/2012	• Financial planning • Team working • Decision making
As an existing governor at Clifton with Rawcliffe I would like to continue my role under the Temporary Governing Body. Already being heavily involved with the new school, it would be a shame not to continue this involvement on.			

Category of Temporary Governor	Name	Current category and term of office	Skills
Community	Ms K Rees-Gill	Community 29/09/2009 – 28/09/2013	• Marketing • Strategic planning • Financial planning • Problem solving • Project management • Team working • Decision making • Communications
I have been a member of the Clifton with Rawcliffe governing body for nearly a year. In that time I have become involved in a new communication policy and establishment of a Parents' Forum. I am keen to continue the community and communication liaison role with parents as the new school is developed. From my position as a heritage consultant, I am used to consultation, working with stakeholders and local authorities to bring about positive change in the management and delivery of services. This includes examining resources, user needs and the most cost-effective way of delivering services, whilst still retaining quality of services offered. I feel I could offer the TGB the willingness to work through any new challenges and support the development of providing a first class school.			
Community	Mr S Hornby	Community 04/04/2009 – 03/04/2013	• Personnel • Team working • Decision making
I have been a governor at both the Rawcliffe Infant and latterly the federated school and I wish to be part of this exciting move to the new build school with the challenges that we face, together with the marvellous education opportunities that the community will then have.			
Community	Mr A Pomfret	Community 16/05/2007 – 15/05/2011	• Strategic planning • Problem solving • Personnel • Project management • Decision making • Health & safety
As a senior project engineer and project manager for Invensys Rail I use the skills listed adjacent on a daily basis to deliver multi-million pound projects in the rail industry. As a parent and member of the local community I am keen to help the community gain the improved facilities the new building will provide. As a professional person I believe that it is important for such public projects as this to have the correct level of governance and see this as a role I can assist with as a school governor.			
Authority	Miss A Campbell	Authority 04/09/2008 – 03/09/2012	• Problem solving • Team working • Communications
As a former lecturer I am extremely interested in the curriculum and learning experience as a whole. Also, as I don't have any connections to the school or area, I can be completely objective and challenging in a productive way. I am in my second year as a governor and feel now that I am part of the team in a positive and effective manner. I thoroughly enjoy my role and wish to continue to try and make a difference in order to enhance the learning experience for the children.			

Category of Temporary Governor	Name	Current category and term of office	Skills
Authority	Mr V Paylor	Authority 04/04/2009 – 03/04/2013	• Project management • Team working • Decision making • Local knowledge • Community links
Mr Paylor is currently the chair of governors of the governing body of the Federation of Clifton with Rawcliffe.			
Authority	Captain S Furlong	Community 29/09/2009 – 28/09/2013	• Strategic planning • Personnel • Team working
As a relatively new governor to the school, I am keen to continue my work with them and offer my time and abilities in the coming months as the new school is established.			

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Agenda Item 5

Executive Member Decision Session for Children & Young People **14 September 2010**

Report of the Director of Adults, Children and Education

The Catalyst Family Intervention Project

Summary

1. Whilst this report is predominantly for information it also creates an important opportunity for the Executive Member to comment upon the progress being made by a project providing a new delivery model for the city. The report describes the Family Intervention Project (FIP) model, its 'roll-out' in York through the Catalyst Project, the positive outcomes being seen for families, and the scope for savings being realised through this approach.

Background

2. Family Intervention Projects (FIPs) are a national model. They have been introduced as part of the "Whole Family – Think Family" agenda to turn around the lives of the small minority of families whose children suffer multiple disadvantage and negative outcomes: intergenerational cycles leading to poverty, crime and/or anti-social behaviour.

3. York was successful in bids to run three separate but interrelated FIPs addressing the following headline themes:

   - youth crime
   - child poverty
   - anti-social behaviour.

4. The York FIPs are merged under the more family friendly branding of 'Catalyst', as there are many common issues within these families.

Catalyst/FIP Model

5. The aim of Catalyst is to be pro-active and engage with selected families to break negative cycles, working together to sustain more positive outcomes for the children and young people. The model has been tried and tested in many areas of this country, with rigorous evaluation showing many positive outcomes. An evaluation of its *local* impact is currently under way.

6. Families are identified by a range of partner agencies using a matrix of key indicators (**see Annex A**) and referrals are received by the Catalyst Manager. Each family that meets the criteria is allocated a key worker who engages with the whole family and undertakes a whole family assessment. An agreement is drawn up detailing what is expected from the family, and what support is available through FIP and partner agencies, including the positive encouragement put in place to strengthen the family's self-help skills. There are also 'sanctions' for non-compliance.

7. Catalyst is contributing to Local Area Agreement targets to reduce the number of Children living in Poverty (NI116), First time entrants to Youth Justice System (NI 111) and Re-offending by 10-17 year olds (NI 19) as set out in the Children and Young People's Plan.

8. The project has been fully staffed since April 2010. The team comprises of a manager, 7.5 fte key workers, 0.5 fte health professional, plus specialist support through the voluntary sector.

9. Workers have a small caseload working intensively (up to 8 hours a week) with up to 6 families each in a year. The project is now working with over 30 families (100 children) and is projected to rise to 44 families by March 2011. The allocation of families is broken down into the three key referral criteria are as follows:

   - 12 families (youth crime)
   - 24 families (child poverty)
   - 8 families (anti-social behaviour).

10. At the time of writing this report we have families at the following stages of the project:

    - 2 – Discussions taking place with agency re possible referral
    - 12 – Referral decision/assessment stage
    - 12 – Support plan stage
    - 6 – Review stage

11. Two of the families at the review stage are almost ready to commence a planned exit strategy, which will involve step down arrangements to lower level services for ongoing support.

12. Families have been referred from a wide range of agencies and characteristically there has already been a history of considerable involvement with a range of services. As with the national model, the challenge is to coordinate those services better, and to ensure that we are genuinely engaging with the most difficult to reach families.

Outcomes

13. Outcomes for families who have been a part of the project are proving very positive and are in line with the national evaluation which has found:

- Reduction in housing enforcement actions by 72 per cent
- A drop in anti-social behaviour by almost two-thirds
- Truancy, exclusion and bad behaviour at school reduced by 58 per cent
- Domestic violence declined by 59 per cent
- Drug and alcohol problems declined by 47 per cent
- Child protection concerns declined 42 per cent.

14. This then leads to a significant long-term decrease in service involvement with these families. The attached case study Family A illustrates this (**see ANNEX B**).

15. There have been many positive outcomes for Family A. Since moving from homeless accommodation they have sustained their council tenancy, which is managed by temporary accommodation under Homeless Legislation, for over 9 months and are taking a real pride in their family home. The eldest son has completed his exams and has secured a job at Burger King. The younger boys are making good progress and dad is attending adult literacy and numeracy courses. Mum has given birth to a healthy baby girl. The family have exceeded all expectations in their engagement with other services and in reduction of ASB and criminality.

16. Currently the Catalyst Project is funded through time-limited grants and delivered through a team working for City of York ACE. There are different models across the country which engage strategic partners from the Local Authority and other agencies through funding contributions and/or secondment of staff to a delivery team.

Consultation

17. At a strategic level there has been full involvement of the Local Strategic Partnership in the development, monitoring and future methods of delivery of the project through reports to Yor-OK Board and its Integrated Commissioning sub-group. The work in York is being overseen by a multi-agency steering group, which ensures that it is targeted most effectively at those families which would most benefit.

18. The model itself relies on significant involvement of families themselves in utilising their own strengths in finding solutions and taking full responsibility for adherence to the careplan.

Options

19. This paper is predominantly for information only. The on-going commissioning of this model of delivery will need to be considered in the light of the Comprehensive Spending Review and given its considerable savings to a range of agencies within multi-agency commissioning arrangements.

Analysis

Costs and Benefits

20. The Catalyst project is resource intensive but is an investment. Overall funding support for 20010/11 has come through the "Think Family" and other grants. The cost of the project is in line with national expectations of £8000 per family (including management and supervision) and reflects the extra costs involved in setting up a new service.

21. However, a key factor in the FIP model is that it is an investment which not only produces positive outcomes for families but produces long-term savings for services across the city.

22. National findings show a key worker supporting five families a year can enable savings in:

    - Children's Social Care (£189,000 a year), saving on additional care places;
    - Local Police, youth offending and community safety (£73,800 a year) saving on staff through handling increases in neighbourhood nuisance and minor crimes;
    - Local housing providers (£36,400 a year), saving on housing enforcement actions.

23. The attached local analysis based on Family A (**ANNEX C**) shows savings of £98,000 attached to Family A. This is worked out through a costing tool (including analysis of likely risk) developed by DfE.

24. The savings include:

    - Service savings (c£49k) the cost to Housing, Health, Social Care and the Justice System;
    - 'Societal savings' (c£49k) the cost of lost opportunities for a family to contribute to society.

25. Extrapolated over all 44 families this would show a saving of c£2.2 million. Taking into account the cost of the work the net saving to services in the City of York is over £1.8 million. Again this is in line with the national evaluation.

Corporate Objectives

26. This Project is in line with many corporate objectives, including efforts to ensure we do our best to make sure that all citizens, regardless of race, age, disability, sexual orientation, faith or gender, feel included in the life of York. It is also part of our strategies to reduce crime, the impact of crime, and child poverty.

Implications

27. **Financial -** Report is for information only, no significant implications. The body of the report however does incorporate growing evidence that this model can have a significant financial benefits. The challenge in the current financial climate will be to achieve ongoing core funding on a partnership basis which would facilitate the longer-term total place savings to be achieved.

28. **Human Resources -** Report is for information only, no significant implications

29. **Equalities -** The Catalyst/FIP project has been developed in the light of a full Equalities Impact Assessment.

30. **Legal -** Report is for information only, no significant implications

31. **Crime and Disorder -** Report is for information only, no significant implications

32. **Information Technology -** Report is for information only, no significant implications

33. **Property -** Report is for information only, no significant implications

34. **Other –** Report is for information only, no significant implications

Risk Management

35. This paper is for information there are no known risks in relation to this item.

Recommendations

36. This paper is predominantly for information only. However the Executive Member is asked to note its contents and comment as she sees fit.

    Reason: to provide an account of the early stages of this new project, which is consistent with a number of corporate objectives.

Contact Details

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Chief Officer Responsible for the report:
Pete Dwyer
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Report Approved ✓ **Date** 31 August 2010

Specialist Implications Officer(s) *Not applicable*

Wards Affected: **All** ✓

For further information please contact the author of the report

Background Papers:

1. 'Family Intervention Projects – An evaluation of their design, set-up and early outcomes'; *White, Warrener, Reeves and La Valle, National Centre for Social Research, 2008.*

2. 'ASB Family Intervention Projects – Monitoring and Evaluation'; *National Centre for Social Research (NatCen),* March 2010.

Both documents can be downloaded at:
http://www.education.gov.uk/research/programmeofresearch/index.cfm?type=5

Annexes

Annex A – Matrix of key indicators
Annex B - Case study of Family A
Annex C – Costings analysis for Family A

Annex A

MATRIX OF REFERRAL INDICATORS

YOUTH CRIME	*CHILD POVERTY*	*HOUSING / ASB*
Child involved or at risk of being involved in youth crime	**Family history of unemployment**	**Family history of anti-social behaviour**
Family member has current/recent court order	**Adults in family have few or no qualifications**	**Family at risk of eviction due to ASB**
Parent or other family member in prison or has been	**Debt problems**	**Family member(s) subject to enforcement action for ASB**
Persistent or prolific Offender in family	***Rent arrears***	**Persistent and ongoing conflict with neighbours**
Prosecution or conviction for crime	**Unable to provide adequate food, clothing etc for family**	**Homeless or at risk of homelessness**
Family member is victim of crime or bullying	**In receipt of one or more benefits – income support, jobseekers allowance, etc**	**In temporary accommodation**

KEY CRITERIA

Family members under 18 years	**Family members over 18 years**	**Any or all family members**
Non-school attendance	Poor financial management skills	Poor literacy and/ or numeracy skills
Excluded or at risk of exclusion	Lone parent	Low aspirations
Poor behaviour in school	Unable to set clear and consistent boundaries for children/young people	Large family i.e. 5 or more members
On child protection register or subject of care proceedings	Evidence of child neglect	Chaotic lifestyle
Child in family is looked after	Subject of parenting order	Significant domestic violence
Uses drugs	Significant drug misuse	Sexual abuse
Use of alcohol, leading to risky behaviours	Significant alcohol problem	Poor family relationships
Significant mental health or physical/learning disabilities	Significant mental health or physical/learning disabilities	Overcrowded housing conditions
Pregnant or teenage parent		Socially excluded

ADDITIONAL CRITERIA

Child/children's age ranges	**under 5 yrs**	**5 – 8 years**	**8 – 13 years**	**13 – 15 years**	**16 years+**

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Annex B

YORK FAMILY INTERVENTION PROJECT

CASE STUDY

Referred to Catalyst via housing, Family A had been evicted from a local authority property for anti-social behaviours and high levels of criminality. They had previously rented private accommodation which became problematic resulting in the family becoming homeless. This led to the family being accommodated in a local authority homeless hostel. After a period of resettlement work the family were offered temporary accommodation if they were willing to engage with Catalyst.

The family had the support from numerous statutory services. The 3 children all had differing issues with education, including high levels of truancy, behavioural issues and being educated outside of mainstream education. The children had previously been assessed as Children in Need (s.17 C A 1989) and thus allocated support services through Childrens Services. One of the family also has mental health issues which required an inpatient stay in the local CAMHS unit. This was just the tip of the iceberg and the families engagement with services had been historically problematic over several years.

When Catalyst became involved the family quickly began to make significant improvements in their engagement with agencies and commented how beneficial they found the structured level of support being offered. The Key Worker collated information and organised a support meeting in which all agencies working with the family were involved in agreeing a Support Contract for the family. This eliminated the elements of duplicated interventions and promoted the best support package for the family to engage with all agencies with the aim for a reduction in service requirement.

The interventions undertaken by Catalyst have provided a basis for the family and support services to share relevant information and work together. This has empowered the family to make significant changes over a period of several months and enabled them to achieve agreed goals outlined in the Support Contract. There has been evidenced improvements in school attendance, a significant reduction in anti-social behaviour, a reported and observed increase in parenting capacity and a reduction of interventions from other agencies involved. For the first time in several years the family have also maintained the conditions of their tenancy agreement and have begun to take real pride in their home.

Feedback from all involved has been very positive, with one agency commenting that the family are “unrecognisable” to where they were prior to the support plan being in place. The grandmother of the family has also thanked the key worker on several occasions stating “I now feel as though I have my daughter back”.

The Catalyst key worker:

- *ensured all services were working to agreed goals so that underlying causes of problems were dealt with in a coordinated way*
- worked with the family as a whole – ensuring the needs of all were being addressed
- set targets and boundaries for the family to change behaviour and laid down clear consequences for non-compliance

The family were costing services and the state in excess of £100,000 per annum prior to Catalyst Intervention. Even though additional agencies have become involved, others have

exited support as the family no longer require it. Now at the 6 month stage preliminary findings are indicating a conservative reduction in costs of approximately 40%.

department for children, schools and families

Family Intervention Projects Negative Costing Tool

v1.0

Enter Family Member Names:

Family Member 1	Mother
Family Member 2	Partner
Family Member 3	Child 1
Family Member 4	Child 2
Family Member 5	Child 3
Family Member 6	
Family Member 7	
Family Member 8	

Create Case Study | Delete FM Sheets

Intervention Cost
£7,000

Reset to default

Disclaimer:

The unit costs used by the FIP Negative Costings Tool come from the Think Family Toolkit (2009) Guidance Note 3. Additional work will be commissioned this year (2010) to further define and quantify these unit costs and we plan to continue to develop this tool further. Please watch this URL www.dcsf.gov.uk/ecm/thinkfamilygrant for further updates.

Family Results

Calculate Family Costs

Total Family Saving	**£98,955.59**
Family Member 1	**£14,572.96**
Family Member 2	**£1,902.86**
Family Member 3	**£31,491.23**
Family Member 4	**£37,755.14**
Family Member 5	**£20,233.40**
Family Member 6	**£0.00**
Family Member 7	**£0.00**
Family Member 8	**£0.00**
Crime/anti-social behaviour	£7,568.28
Drug and Alcohol Services	£256.62
Education/Employment	£48,043.29
Health Care	£10,132.56
Housing	£22,836.95
Social Care	£17,117.90
Organisation	
Commercial sector	£0.00
Criminal Justice	£1,611.79
Health Service	£6,499.36
Local authority	£25,623.06
Police	£4,168.99
Private sector	£0.00
Social Services	£15,467.90
Society	£48,043.29
Various	£375.42
YOT	£82.80

Caseload Results

Calculate Caseload Costs

Total Cases	**0**
Total Caseload Saving	**£0.00**
Crime/anti-social behaviour	£0.00
Drug and Alcohol Services	£0.00
Education/Employment	£0.00
Health Care	£0.00
Housing	£0.00
Social Care	£0.00
Organisation	
Commercial sector	£0.00
Criminal Justice	£0.00
Health Service	£0.00
Local authority	£0.00
Police	£0.00
Private sector	£0.00
Social Services	£0.00
Society	£0.00
Various	£0.00
YOT	£0.00

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Executive Member Decision Session for Children & Young People **14 September 2010**

Report of the Director of Adults, Children and Education

The implications of recent Government announcements for certain Council-funded programmes

Summary

1. This report summarises the implications of recent Government announcements about in-year expenditure reductions for programmes that had been funded under the Early Intervention Fund (EIF) and the York Youth Community Action Project (YYCAP). It invites the Executive Member to approve officers' actions, which have sought to protect the Council's financial position whilst at the same time minimising so far as possible the impact on the third sector and on those whom they support.

Background

2. The *Children's Early Intervention Fund* replaced the fund previously known as the Children's Fund; it has existed in one or other form in York for nearly five years. It has supported vulnerable children (mostly aged 5-13) and their families through a programme of targeted preventative and early intervention work, predominantly but not exclusively involving projects in the third sector.

3. In the most recent funding period, York received £356k per year for two years from Government via the Area Based Grant mechanism in order to fund projects until March 2011. The Executive Member approved a multi-agency assessment panel's recommendations for distributing £286k (pa) of these funds (after allowing for administration costs) at her meeting on 19 January 2009.

4. The *York Youth Community Action Project* is a more recent innovation: a pilot scheme to promote youth community volunteering for all of York's 14-16 year olds. York was one of only five authorities to win funds to support this programme last December, in a partnership with York CVS and York Cares. The programme, which was originally worth £1.4 million, has been running since March of this year. The Executive Member approved a multi-agency assessment panel's recommendations for distributing part of these funds ("rounds one and two") at her meetings on 13 April and 8 June 2010. In all, £490k was allocated, again predominantly to the third sector, in these two funding rounds.

5. In both cases, recent announcements from Government have made in-year reductions in the amounts previously allocated to us, obliging us to take action to protect the Council's financial position whilst recognising that unexpected changes to the cash flow of third sector organisations could have serious consequences for them and those with whom they work.

6. This paper reports on the actions officers have taken in these difficult circumstances. Our approach has been slightly different in relation to the two programmes because in the case of the EIF, all funds had already been fully distributed, and Government has simply reduced the underlying Area Based Grant by a specified amount. In the case of the YYCAP, not all of the funds had yet been allocated or committed, and the Government's precise intentions in terms of the final financial position are not yet entirely clear.

Consultation

7. Given the above we have approached consultation slightly differently for the organisations affected by these changes. In the case of the projects funded by the EIF, we have written to all the organisations concerned at the same time as these papers were published, and have invited them to a meeting at CVS on 6 September. We can report back on this orally to the Executive Member. We have handled it this way because our proposal is to treat all of the projects in the same way.

8. In the case of the YYCAP projects, for the reasons explained below, we have needed to negotiate an individual exit strategy for each one. We have therefore spoken to each project separately, and this paper (supplemented as necessary by an oral update) represents a summary of those discussions.

Options and Analysis

Early Intervention Fund (EIF)

9. The reduction in the EIF grant was part of a total of just over £1million of in-year Area Based Grant (ABG) reductions affecting York. Similar reductions have been announced for all authorities. The Department of Education element of the ABG cuts was not allocated to the specific lines within the grant but was set as a total of the overall allocation (equivalent to a total cut of 24%). However, the Government made it clear that the final choices were for LAs to decide.

10. Officers took the view that it was not sustainable to immediately start the process of giving three months notice (as required by the Compact agreement) of cessation of funding to the organisations running EIF projects. This would have meant the third sector would be bearing a disproportionate element of the reduction, and would not have given them adequate time to adjust their plans. There was also the wider impact to consider: we conducted a desktop Equalities Impact Assessment of the options open to us, and it was immediately obvious that a reduction in grant would impact disproportionately on certain minority groups. This is because, by its very nature, the fund was

designed to target certain vulnerable groups, and four of the projects in particular (CANDI, supporting the parents of disabled children; the Ethnic Minority Service, promoting the inclusion of children from minority communities; the Young Travellers' Learning Project, supporting family work in the Traveller community; and Refugee Action, supporting families in the Turkish and Kurdish communities) support groups who are specifically covered by Equalities legislation.

11. For all of these reasons, officers took the view that the Council should protect the third sector (and their clients) for as long as possible.

12. However, it is clear that we will not be able to bring in a balanced budget this financial year without making at least some reductions in the EIF grant. We also have to assume that there will be no further Government funding under this heading in subsequent financial years – this has not been announced officially, but seems a reasonable presumption. So the issue is really about bringing this funding stream to a premature but complete close, and the speed with which we do so.

13. We believe we have no choice but to recommend a 25% reduction in the EIF grants originally allocated for the current financial year.

14. There is a subsidiary option as to whether to apply such a reduction equally across all EIF-funded projects, or to keep some going longer while curtailing others sooner. Given the short timescales involved, and the seeming inevitability of no further funding for subsequent years, we recommend that a 25% reduction be applied across *all* EIF projects, which in effect means not paying the final quarter's instalment to any of them. We have written to all of the projects to advise them of this, which is well within the timescales set out in the Compact. A list of the organisations, and the amount of the recommended reduction, is at **Annex A.**

15. This seems a balanced approach, in the circumstances. However, it does not address the longer term issues arising from the likely cessation of the fund. There is no doubt that many of these projects have played an invaluable role in helping vulnerable families and their children; this consideration, as well as the requirements of the Compact and the outcome of the Equalities Impact Assessment, would in normal times suggest that we should consider whether there is any longer term possibility of replacing the lost funding with resources from mainstream budgets. Unfortunately we are not at present in a position to do this, and we will not know about next year's budgets until after the comprehensive spending review in the Autumn. At that point, and in good faith, we will reassess the possibility of protecting some of these projects using mainstream funds. Such assessment will be informed by an updated evaluation of their effectiveness and impact which is already under way. We will bring the results of this to a subsequent Executive Member meeting. In the meantime, though, we believe it is only prudent to plan on the basis that continuation of funding beyond the third quarter of this year is unlikely. We will of course also continue to work with CVS to seek alternative funding sources for these projects. Many have been historically successful in

attracting resources from elsewhere and whilst again we are operating in changing financial times any such opportunities should not be missed.

York Youth Community Action Project (YYCAP)

16. As indicated above, the position in relation to the projects funded by the YYCAP is different. This was a pilot project which was only ever intended to last just over a year. Not all of the funds have yet been distributed, as we had originally envisaged three funding rounds. When the scale of the public expenditure problems became apparent, we offered to DfE not to run the third funding round which, together with other savings, would have meant foregoing just over £300k of the original project funding of £1.4 million. We hoped that this offer would have enabled us to continue running the remainder of the project on a somewhat scaled down basis.

17. However, DfE have now written to us asking us to terminate the project as quickly as possible, returning *all* unspent and uncommitted funds. Their letter to us is attached at **Annex B**. This is far from straightforward, not least because DfE have not told us exactly what scale of a reduction in expenditure they are expecting, although they have confirmed that they will make a final payment to us in September. Furthermore, in talking to the organisations running the projects, it rapidly became clear that each of their circumstances was different: some had already received all of their allocated funding from us; others were expecting a second instalment. Some had appointed staff, on a variety of notice periods ranging from one week to three months. Some had already delivered activities; others had barely begun.

18. Faced with this, the multi-agency Project Board decided the only way forward was to negotiate an individual exit strategy with each of the 21 grant funded projects, as well as with the core partners. In some cases this would mean them voluntarily agreeing to forego a second instalment of a contract previously signed; in others, it would mean returning unspent cash. The Project Board agreed a series of principles for conducting these discussions to ensure equitable treatment of all concerned; these included:

    - reassuring community organisations that all expenditure incurred to date, or to which they were contractually committed, would be reimbursed in full;
    - asking organisations to halt any recruitment procedures that were part way through, and giving notice to any staff employed solely on this project, unless their salary could be met from a different funding stream;
    - winding up all planned activities as soon as possible, and by 31 October at the latest, unless they were at such an advanced stage of planning that to do so would represent poor use of public funds;
    - ensuring that a final account can be prepared, alongside appropriate case studies and the means to take the legacy of the project forward, by the end of November.

After some debate, the Project Board agreed that the remaining school "taster" days planned for early in the Autumn term fell into the category of "already planned to such an extent they should go ahead", so long as the schools in question were happy with this.

19. Officers would like to pay tribute to all concerned for the spirit in which these discussions have been conducted. Community organisations, though disappointed, have recognised that the position is not of the Council's making. At the time of writing, 100% of those with whom we have made contact have agreed to forego some portion of their original contract; a summary of the position to date, which can be updated at the meeting, is at **Annex C.** It seems likely that, overall, we will be in a position to forego around £600k of the original £1.4 million project contract, provided DfE agree to a final claim of around £225k.

20. The Executive Member will wish to note that three organisations – York Boxing Club, Running Wild, and York CVS, will be affected by both the EIF and the YYCAP decisions. We will as described above offer advice to all parties as to possible alternative sources of funding, in conjunction with CVS – whose approach to these issues, and support, has been especially commendable in the circumstances, and has demonstrated the true spirit of partnership.

21. Finally, it should be noted the approach of many of the community organisations we have spoken to has been "how best can we now keep youth volunteering going in the longer term". There is no question but that this project, which was only ever envisaged as a one-off pilot, will have achieved an enduring legacy. Some £800k will have been spent on youth volunteering in York, with over 10,000 volunteering hours generated. It is important that we maintain this momentum, especially in the year of volunteering, and officers are determined to do so.

22. We invite the Executive Member to approve officers' actions.

Corporate Objectives

23. Both the EIF and the YYCAP projects were in line with corporate objectives to ensure that all citizens, regardless of race, age, disability, sexual orientation, faith or gender, feel included in the life of York. It is also part of our strategies to reduce crime, the impact of crime, and child poverty. The challenge now will be to continue to pursue those objectives through other means and with reduced funding.

Implications

24. **Financial –** The financial implications are covered in the body of the report.

25. **Human Resources –** A number of staff in the third sector are likely to be affected by these expenditure reductions, and each organisation will need to approach this in accordance with its own HR policies. So far as Council staff

is concerned, the position of those whose job descriptions includes (amongst many other things) responsibility for the EIF will be reviewed in the Autumn after the comprehensive spending review, in accordance with normal COYC procedures. The position of the one officer employed full time on the YYCAP project will similarly be reviewed again at that time; in the short term, he can be redeployed on a temporary basis to a different project.

26. **Equalities –** As indicated in the body of the report, many of these projects were specifically designed to support vulnerable or minority groups, so the reductions in expenditure will have a particular impact on them. We have sought to minimise this by not passing on immediately the full extent of the reduction in Area Based Grant, and we will review again in the Autumn the possibility of reviving some of the projects using mainstream funds.

27. **Legal –** The actions we have taken are consistent with our contractual position in relation to these programmes.

28. **Crime and Disorder –** Although some of the projects were targeted at young offenders, we do not consider that the expenditure reductions will have any significant impact on crime and disorder.

29. **Information Technology -** no significant implications.

30. **Property -** no significant implications.

Risk Management

31. There are some risks associated with this report in the sense that unforeseen expenditure reductions of this nature could affect the Council's reputation. However, as indicated above, the majority of external partners have recognised that the situation is not of the Council's making. There is also a risk, should the DfE not agree to our final claim under the YYCAP project, that we might overspend the amount allocated to us. We have minimised this risk through the actions we have taken to recover unspent funds, and believe our moderate final claim can be robustly defended.

32. Overall, we believe that the risks associated with this report are *moderate* and will need careful continued monitoring by officers.

Recommendation

33. The Executive Member is recommended to approve the actions officers have taken to handle the in-year expenditure reductions to the Early Intervention Fund and the York Youth Community Action Project, and which are summarised in Annexes A and C (supplemented as necessary by an oral update).

Reason: to protect the Council's financial position while at the same time minimising the impact, so far as possible, on third sector organisations and those whom they support.

Contact Details

Author:	Chief Officer Responsible for the report:			
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	Report Approved	✔	**Date**	*31 August 2010*
Specialist Implications Officer(s) Finance Richard Hartle Head of Finance for Adults, Children and Education 01904 554571				
Wards Affected:			**All**	✔
For further information please contact the author of the report				

Background Papers:

1. Executive Member and Advisory Panel Meeting of 19 January 2009
2. Executive Member Decision Session of 13 April 2010
3. Executive Member Decision Session of 8 June 2010

All documents can be downloaded from City of York Council's Website

Annexes

Annex A – Early Intervention Fund Grants
Annex B – Letter from DfE about the Community Action Pilot
Annex C – York Youth Community Action Programme Grants

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Annex A

Early Intervention Fund Grants

Organisation	**Total annual grant**	**Recommended 25% reduction**
CANDI	£16,000	£4,000
Ethnic Minority Service	£32,000	£8,000
Young Travellers Learning Project	£4,000	£1,000
Nurture Groups	£40,000	£10,000
Parenting Support in Vol Sector	£15,000	£3,750
Vol Sector Capacity Building	£23,000	£5,750
Running Wild	£20,000	£5,000
YISP - 25% Project	£40,000	£10,000
CVS Funding Project	£17,000	£4,250
The Island	£23,000	£5,750
York Boxing Club	£14,000	£3,500
Young Carers	£14,000	£3,500
IDAS	£20,000	£5,000
Refugee Action	£8,000	£2,000
TOTAL	**£286,000**	**£71,500**

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Annex B

Department for
Education
Alan.clarke@education.gsi.gov.uk

28 July 2010

Dear Colleague

I am writing to give you formal notice of the Department's intention to terminate the grant agreement we hold with you for the Youth Community Action Pilot programme.

Ministers have been reviewing all policies and funding commitments, including the Youth Community Action programme and they have decided to bring it to a close with immediate effect.

I know that this will come as a disappointment to you and that it will have implications for your organisation and staff. I want to record my thanks to you and to your team for the enthusiasm and commitment you have shown for this initiative. This decision has not been taken lightly. However it must now be implemented.

In practice this means that unless there are **unavoidable** and **legally binding** reasons why a particular project cannot stop, all programme activity **must** stop:

- All staff whose roles are partly or wholly funded through the programme must be given notice immediately
- All activity which was not contracted (and by this we mean legally binding) prior to the date of the programme being suspended on 28 July should be cancelled.
- If contracts can be terminated without legal challenge, using break clauses, then they should be
- Whilst existing commitments, where essential, can be honoured, expenditure should be reviewed to ensure maximum value for money and savings released where possible

This means that you should not:

- Commission any new activity
- Retro-contract activity to enable it to happen

I would anticipate that all residual activity will be drawn to a conclusion within the 90 day period stipulated in our grant agreement.

I would be grateful if you could provide confirmation of the funding that will be released as a result of this decision, together with a breakdown of savings by budget head, by 12 August.

I very much appreciate the commitment you have shown to developing this programme and I want to thank you for your contribution over the past year.

Yours sincerely,

Alan Clarke
Deputy Director
Curriculum and Pupil Wellbeing

Annex C

York Youth Community Action Programme Grants

Organisation	Total grant	Anticipated grant to be foregone as at 26.08.10
Gateway Action	£34,690	£10,836
Princes Trust	£27,120	£13,560
Yorvik Sports	£32,708	£10,490
York Boxing	£19,950	£4,500
York Archaelogical Trust	£8,107	*
Friend of St Nicks	£30,000	£12,475
BTCV	£30,000	£9,610
CYC Integrated Services for disability	£24,921	£9,252
Inspired Youth	£17,761	*
Wildlife Trust	£29,997	£10,382
Network 2	£21,100	£9,300
Global York	£30,000	Est £10,000
York City Knights	£34,626	*
Heworth Rangers	£4,984	£3,500
Jam Factory	£5,000	£2,070
Mind	£20,000	£7,600
Space 109	£20,000	£9,012
York Museums Trust	£30,000	£10,287
York Playspace	£30,000	*
York Stars	£9,230	£3,500
York Theatre Royal	£30,000	£13,253
Hurst Community Hall Young People's Grant	£2,641	£0
Huntington School Young People's Grant	£500	£0
Millthorpe School Young People's Grant	£1,500	£0
2nd Perspective (Research / Evaluation)	£20,000	*
York Cares (staffing & delivery of volunteering days with schools & organisations)	£153,000	£51,000
York CVS (staffing & co-ordination with community organisations)	£95,648	£36,000
City of York Council staffing and on costs	£59,000	£23,350

* awaiting meeting with organisation

All figures are subject to being finalised with organisations by end of September

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DECISION SESSION – EXECUTIVE MEMBER FOR CHILDREN AND YOUNG PEOPLE'S SERVICES
TUESDAY 14 SEPTEMBER 2010

Annex of additional comments received since the agenda was published.
Comments refer to agenda item 6 – Implications of recent Government announcements for certain council-funded programmes

	Received from	Comments
1	Martina Higgins Chair of Candi	I write to you as the chair of Candi (Children and Inclusion), to express our concern about the financial cuts to the Early Intervention Fund (EIF). Candi receives 100% of its funding from the EIF which allows us to pay the salaries of two part-time workers - a Project Development Officer and Information Officer. These two posts allow us to keep in regular contact with the families of disabled children; sharing information about children's activities, offering training on a range of relevant topics and providing emotional support to those families who might have just received a diagnosis for their child, or who require peer support of one variety or another. The proposed cuts in funding present a particular threat to our existence as we are such a young organisation. Apart from our funded posts, we are dependent upon our membership's voluntary efforts; families who neither have the time nor the energy left to volunteer in this way. Candi's activity forms a safety net for some families, since we play a role in helping them to maintain their emotional well-being. We also play an important role as far as York City Council is concerned, by sitting on various strategic groups and offering a group of parents that the council and PCT (whilst they exist) can consult with. I hope that you will be able to bear this in mind when you make your final decision - £3000 is a small price to pay for the support that we give families and the preventative role that we play. We appreciate that we need to supplement our own funding strategy come March 2011, but this recent cut to our funding for the last quarter negates Candi's accomplishments of the last two + years and devalues our vital work in supporting families. I respectfully request that you carefully consider our situation.

2	Linda Stubbs Carers Centre Manager York Carers Centre	I write with regard to the decision to reduce the funding for the Early Intervention Fund (EIF) by 25% within this current financial year. Whilst we appreciate these cuts have been orchestrated by Central Government, we believe it is important that the Executive is made aware of the impact of this decision on young carers in York. Those receiving services from the EIF are the most marginalised and vulnerable young people within the city and as a result will suffer significant loss as a result of these cuts. Young Carers are young people between the age of 8 and 18 who have a caring responsibility at home. They could be looking after a parent, a grandparent or a brother or sister. This person may have a physical disability, long term health problem, mental ill health or a problem with drugs or alcohol. The census 2001 recorded that 400 young carers lived in York, this is a conservative estimate and we believe there are many more than this some 10 years on. York Carers Centre has a specific young carers team which supports around 60 young carers – providing one to one emotional support, practical help, monthly youth groups and activities/trips. The Young carers revolution is a group of young carers who have used art and media to express themselves and speak out about the issues they face and what can be done to improve their complex and challenging lives. DVD sent under separate cover. This work has been commended by the Children's Commissioner for England, Maggie Atkinson who met the young carers and watched the DVD at the "No Wrong Doors" Conference in June this year. One thing that young carers tell us makes a difference is being understood by teachers and those in the education setting. School is also the logical place for young carers to be identified. However prior to this project no such referrals were made to the young carers service from schools. http://www.guardian.co.uk/education/2010/jun/01/young-carers-lack-of-school-support The schools project has begun to make a real difference both to the young carers who have been identified to date via this project and those who may benefit in the future because of improved awareness

		and better links with school staff. York as an authority was ahead of the game in having such a project and its loss will have a big impact. 15 additional young people and their families are supported by the Young Carers service as a direct result of this project and 5 more are in the pipe line – this is a quarter of the case load. About the service one parent has said: 'I feel young carers has been very beneficial to my daughter and myself. She is a great deal more relaxed and happy at home. I feel I have benefited and she is enjoying the activities I know I can't take her to. She has had wonderful encouragement from everyone at the service and I know she will continue to get so much out of the 1:1 support.' This cut will mean that the Young Carers service as a whole will be reduced. One to one support will have to be rationed as over 220 staff hours will be lost. It would not be fair to stop one to one support just to the young people referred to us via the schools project as these young carers may be high need – therefore all young carers we support will receive a reduced service as one and a half workers cannot support 60 young carers with one to one support. It is important that members are aware that other than the young carers service there is no provision for young carers in the city. City of York Council has not yet found a way to offer Young Carers Assessments to young carers and statutory services do not meet the needs of this group. The young carers service continues to be over stretched and this loss of funding is yet another blow. The young carer workers are deeply committed and already work very long hours to deliver the service because they know these vulnerable and amazing young people will not be supported by other services. We cannot ask the team to do any more so ultimately the impact will fall on service users – young carers who already have a raw deal. We would also like to point out that whilst we can look to seek other funding the Executive should note that fundraising is a time consuming task and in the current climate the competition will be fierce. We have a

		contract with CYC &NYYPCT.NHS to provide services to unpaid carers in York and within this there is already an expectation that we will add value and services to the contract by obtaining funding from other sources. We therefore hope that commissioners will appreciate the dilemma this leaves us in – more time being spent chasing ever limiting pots of funding and less time providing services to carers who we are here to support. Finally, I would like to commend one of the officers at City of York Council Bernie Flanagan. He has been supportive and transparent about the situation and clearly understands the value of early intervention for many vulnerable young people. He has supported all aspects of the Young Carers Service and we thank him for this. We hope that when discussions take place about funding after the public spending review that the authority takes its statutory and moral responsibility to young carers seriously.